

Field Method of Simulation of Phase Transformations in Materials

Alex Umantsev

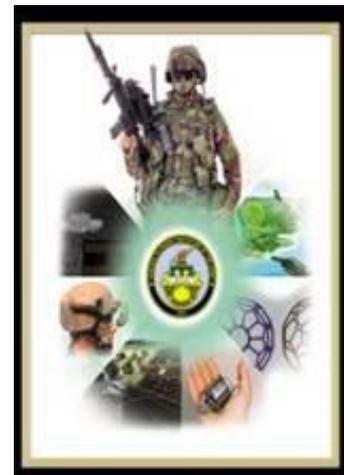
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CH**MaD**



National Science Foundation
WHERE DISCOVERIES BEGIN



What do we need to account for?

Multi-phase states: thermodynamic systems may have multiple stable phase 'coexisting' at the same conditions.

Heterogeneous states: phase transformations may go along very complicated paths.

Dynamic structures: rate of transformation can make a difference for the final structure and properties

Nucleation: phase transformations are initiated through the process of overcoming of some kind of a potential barrier.

Phase Field Theory 101:

Six Pillars of the Field Theory of Phase Transformations

Field Theory

Landau theory

Order
para-
meter

Free
Energy

Hetero-
geneity

Dyna-
mics

Fluctua-
tions

'Hydrody-
namics'

Ginzburg-
Landau

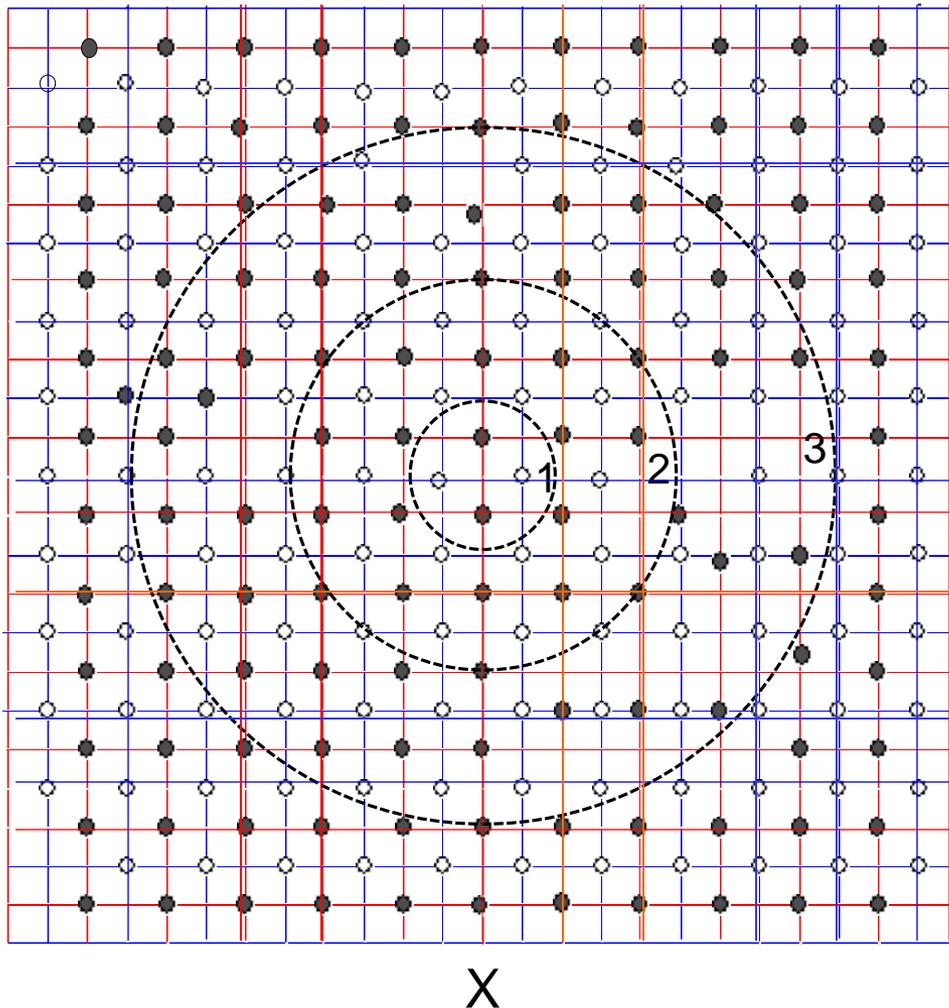


Physical consistency!

Pillar 1: Order Parameter: Symmetries of the System

Order-disorder transformation in β -brass (Cu-Zn alloy)

Coarse-graining procedure



- --Zn atom
- --Cu atom

concentration

$$C = \frac{N_{Cu}}{N_{Cu} + N_{Zn}}$$

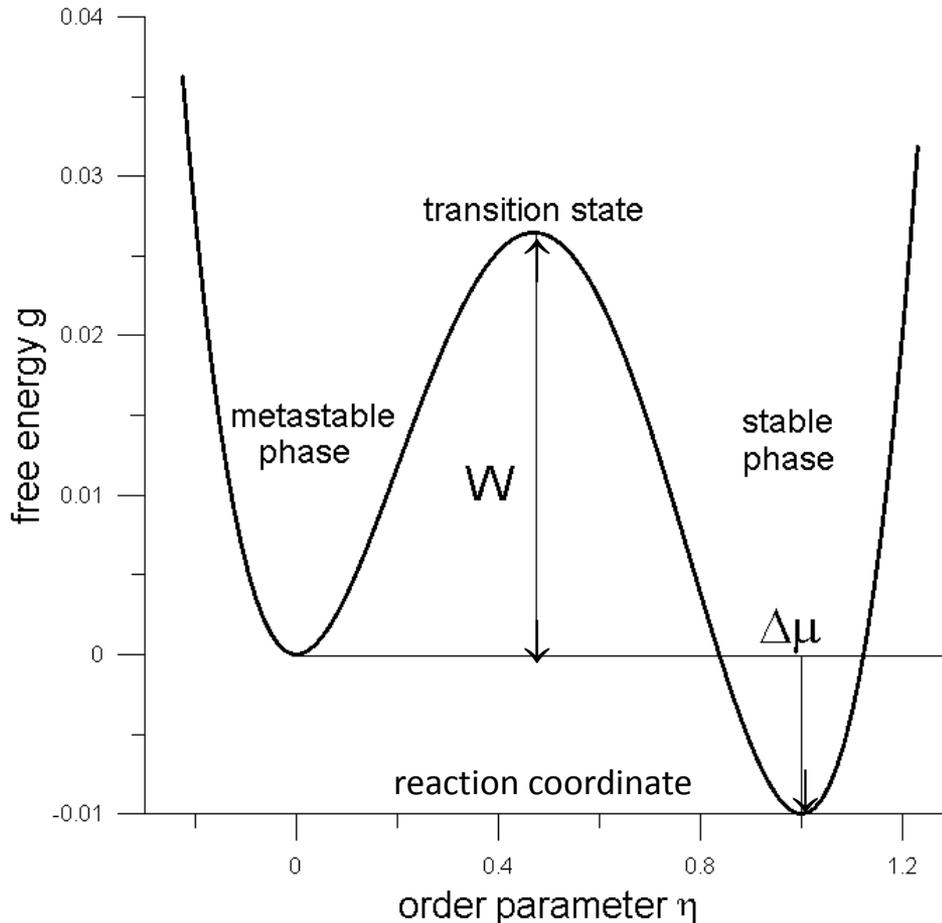
order parameter

$$\eta = 1 + \frac{N_{Cu/red} - N_{Zn/blue}}{N_{Cu} + N_{Zn}}$$

	C	η
R1	0.500	1.000
R2	0.486	0.971
R3	0.487	0.920

Pillar 2: Free Energy

$$g(T, \eta) = g_0(T) + \frac{1}{2} W \eta^2 + \frac{1}{3} (\Delta\mu) \eta^3 + \frac{1}{4} \eta^4 \leftarrow \text{Landau potential}$$



stable phase: 'crystal'

metastable phase: 'liquid'

W —potential barrier—
energy scale

$\Delta\mu$ —chemical potential
difference

$\Delta \equiv \Delta\mu/W$ —driving force

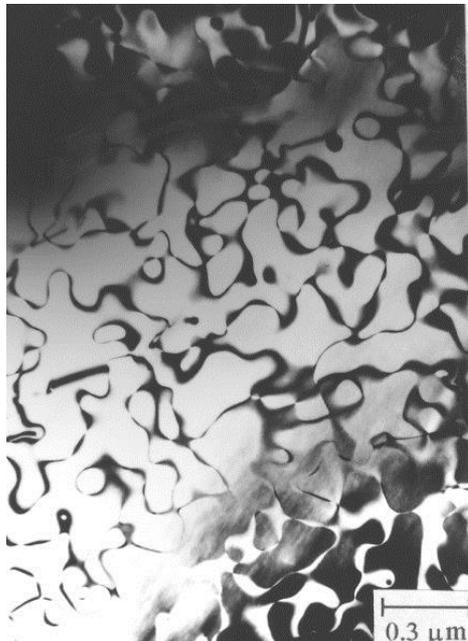
Pillar 3: Heterogeneous Systems: Gradient Energy

$$G = \int [g(W, \eta) + \frac{1}{2} \kappa (\nabla \eta)^2] dv$$

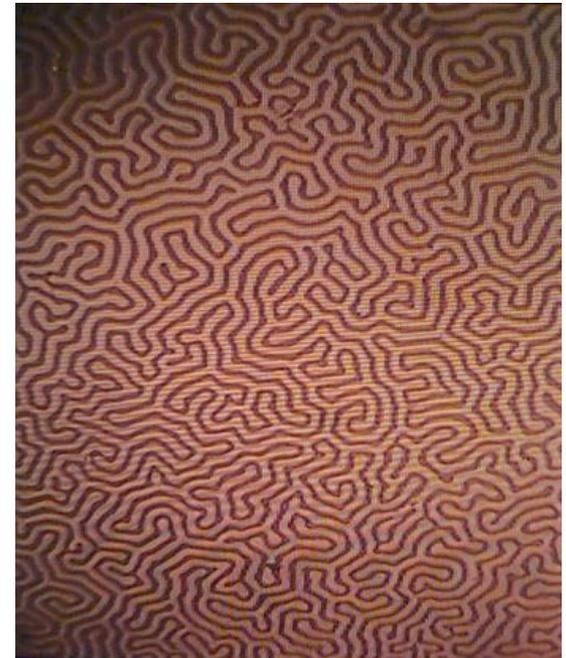
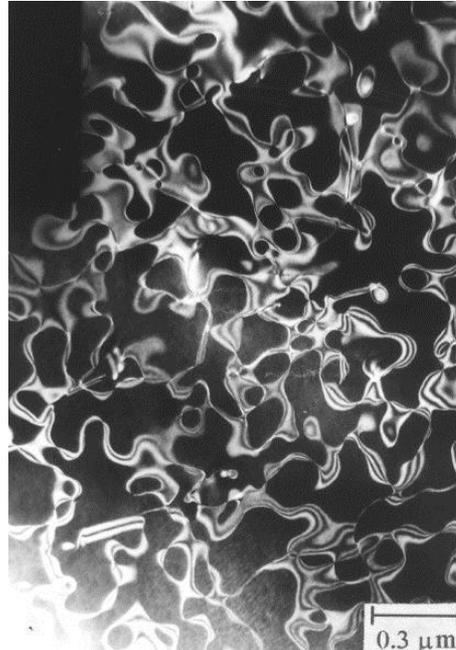
Length
scale:

Correlation length: $\xi = \sqrt{\frac{\kappa/W}{1-\Delta}}$ – interface thickness

Interfacial energy: $\sigma = \sqrt{\kappa W}$



Order-
Disorder
Fe-Al
Allen,
Cahn
Circa'82

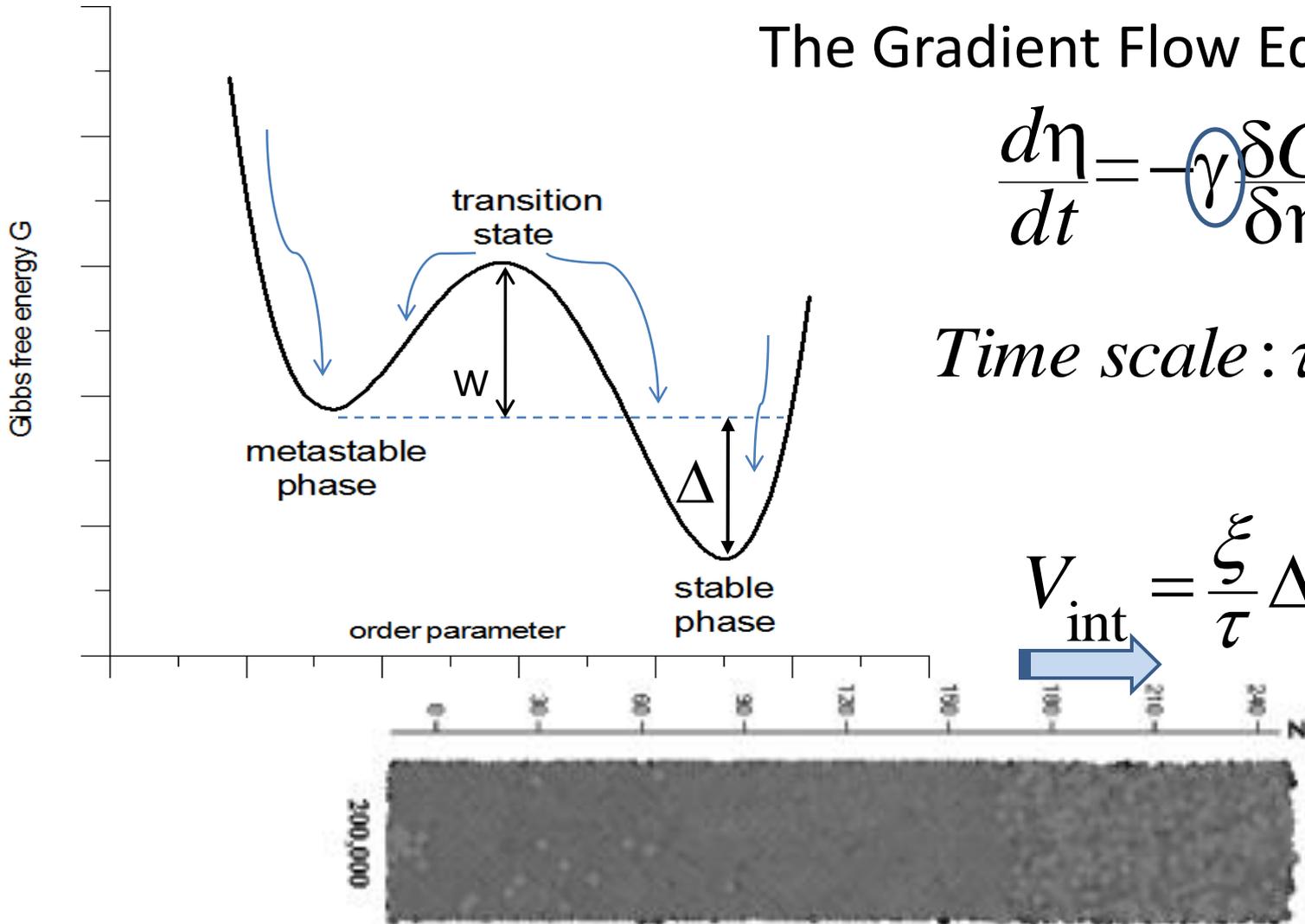


Pillar 4: Kinetics

The Gradient Flow Equation:

$$\frac{d\eta}{dt} = -\gamma \frac{\delta G}{\delta \eta}$$

$$\text{Time scale: } \tau = \frac{1}{\gamma W}$$



Pillar 5: Internal fluctuations: Langevin force

$$\frac{d\eta}{dt} = -\gamma \left(\frac{\delta G}{\delta \eta} \right)_{T,P} + \zeta(\mathbf{x}, t)$$

$$\langle \zeta(\mathbf{x}, t) \rangle = 0$$

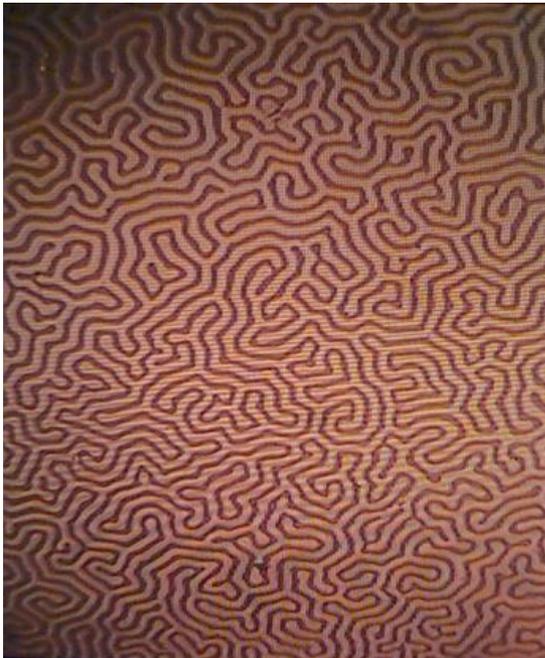
$$\langle \zeta(\mathbf{x}, t) \zeta(\mathbf{x}', t') \rangle = \Gamma \delta(\mathbf{x}' - \mathbf{x}) \delta(t' - t)$$

$$\Gamma = 2\gamma k_B T$$

$\zeta(\mathbf{x}, t)$ —noise:

Gaussian, white, additive

One more energy scale:
thermal fluctuations- $k_B T$



Pillar 6: 'Hydrodynamic Modes':

Conservation
Laws

Momentum Flow (Pressure or Stress)

Diffusion (Concentration of species)

Electromagnetic Field Variation (Waves)

Heat Flow (Temperature Variation):

'Thermodynamically consistent heat equation'

A. Umantsev and A. Roytburd. Sov. Phys. Solid State **30**(4), 651-655, (1988)

General Heat-Equation $\rightarrow C \frac{dT}{dt} = \nabla(\lambda \nabla T) + Q(\mathbf{x}, t)$

Heat Source $\rightarrow Q(\mathbf{x}, t) = -\left[\left(\frac{\partial e}{\partial \eta} \right)_{V, T} - \kappa_E \nabla^2 \eta \right] \frac{d\eta}{dt}$

\uparrow Latent Heat L

Length Scales

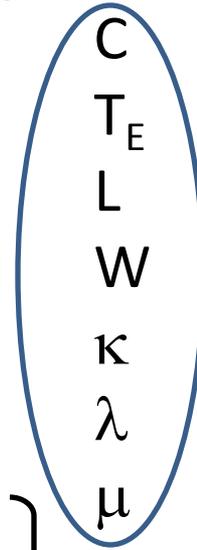
$$l_I = \sqrt{\frac{\kappa}{W}} \quad \text{– interfacial thickness}$$

$$l_C = \frac{CT_E\sigma}{L^2} \quad \text{– capillary length}$$

$$l_\mu = \frac{\lambda}{\mu L} \quad \text{– kinetic length}$$

$$l_T = \frac{\lambda}{CV_n} \quad \text{– thermal length}$$

$$K^{-1} \quad \text{– radius of curvature}$$



dynamic

$$U = \frac{l_C}{l_I} = \frac{CT_E W}{L^2}$$

$$R = \frac{l_\mu}{l_C} = \frac{\lambda}{C\gamma\kappa}$$

Time scales

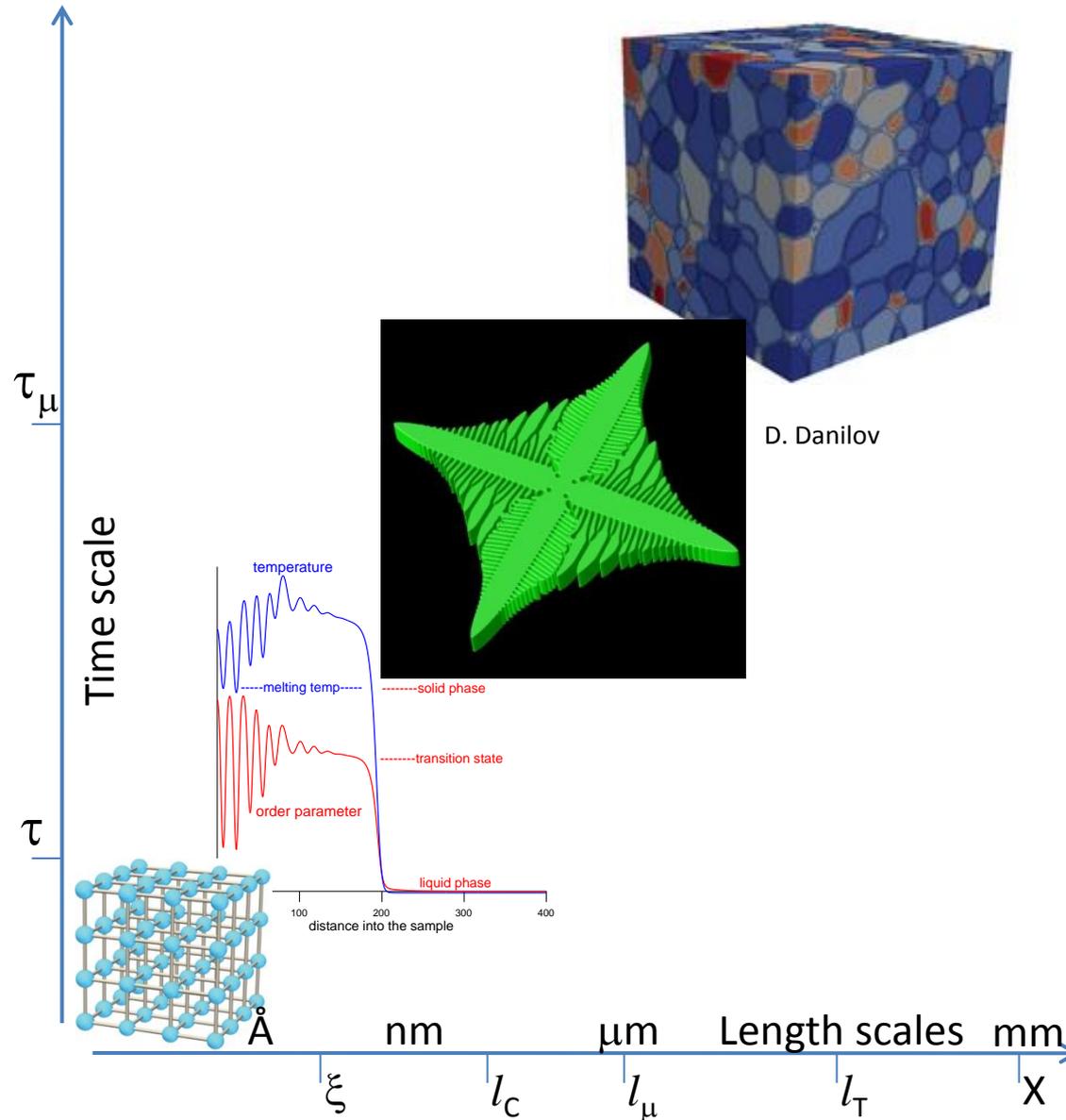
$$\tau = \frac{1}{\gamma W}$$

relaxation time

$$\tau_\mu = \frac{\lambda C}{(\mu L)^2}$$

kinetic time

Truly Multi-Scale Method

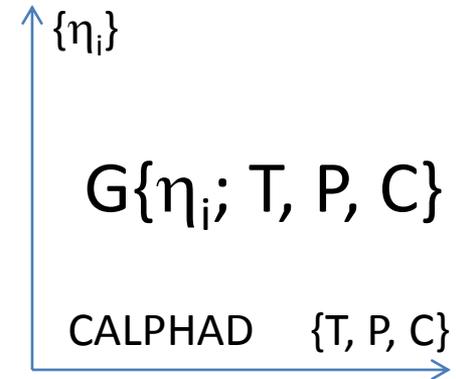


Real Material Modeling

Problem A: Convert thermodynamic functions of two or more phases into a continuous Landau-Gibbs Free Energy

Solution 1: LTPT: Symmetry expansion

Solution 2: Speculate

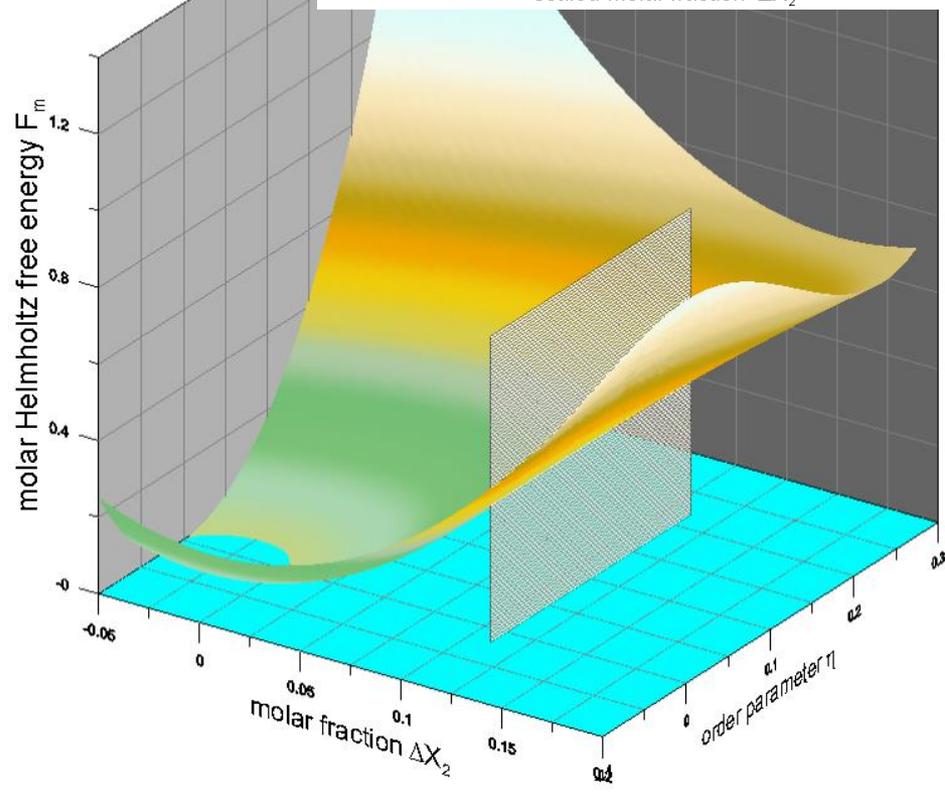
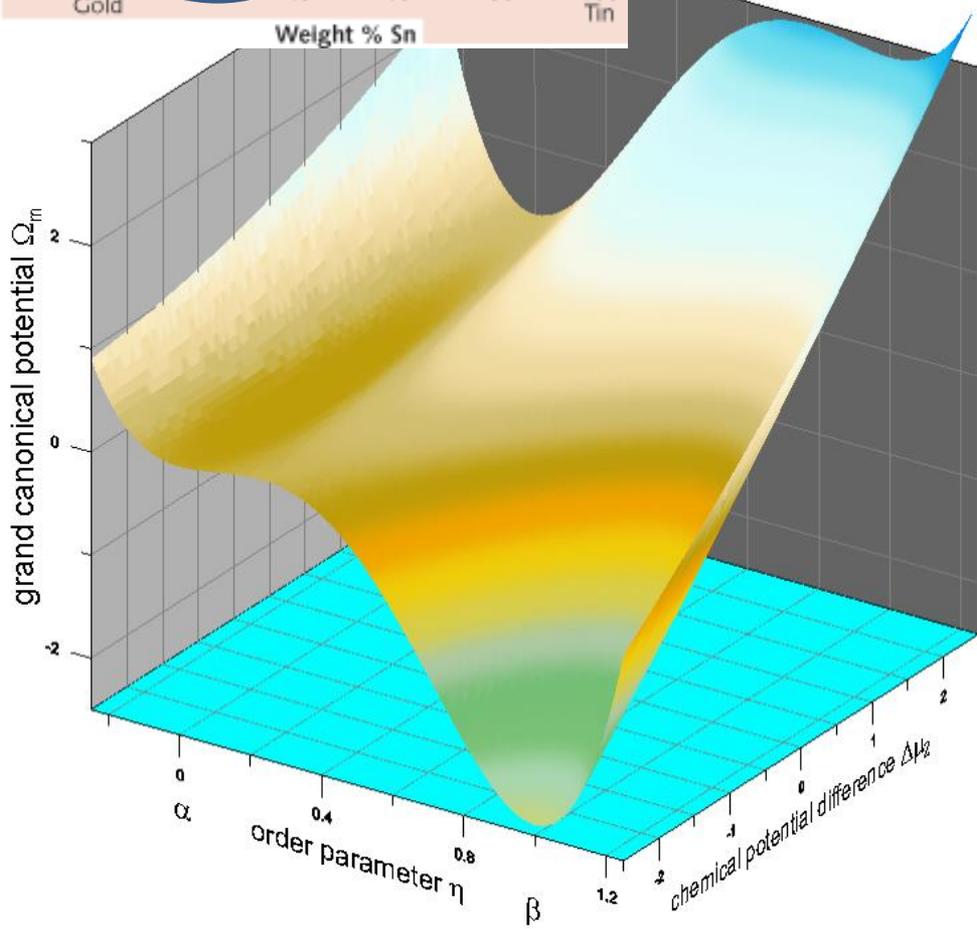
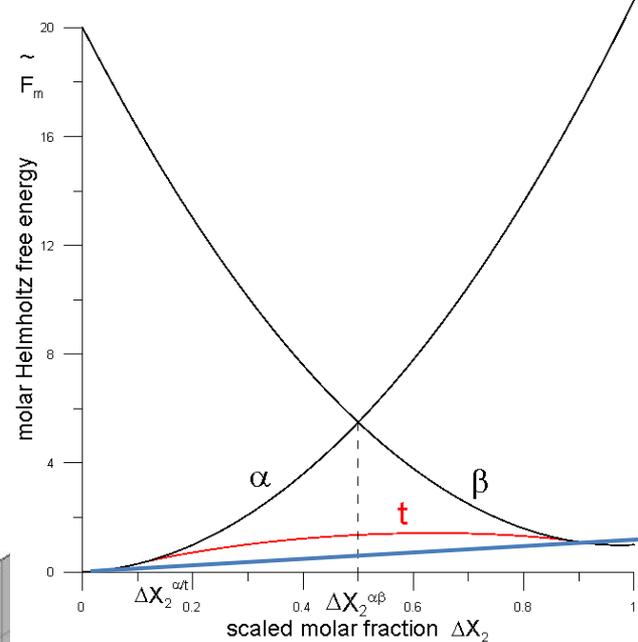
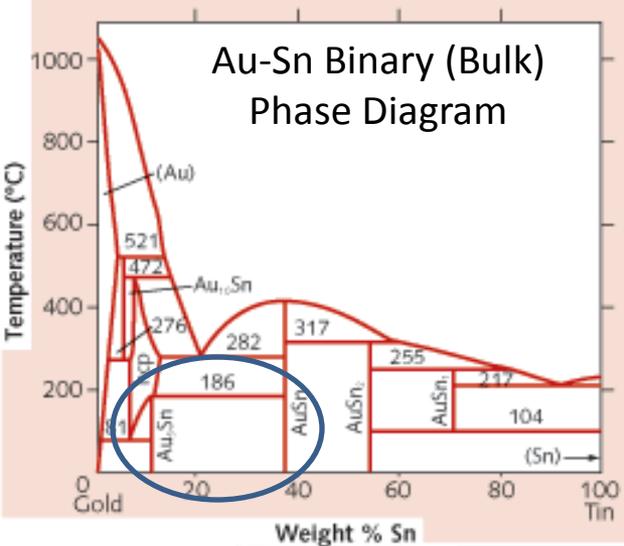


Problem B: Find the PFM parameters: $\{W_i, \kappa_i, \gamma_i\}$

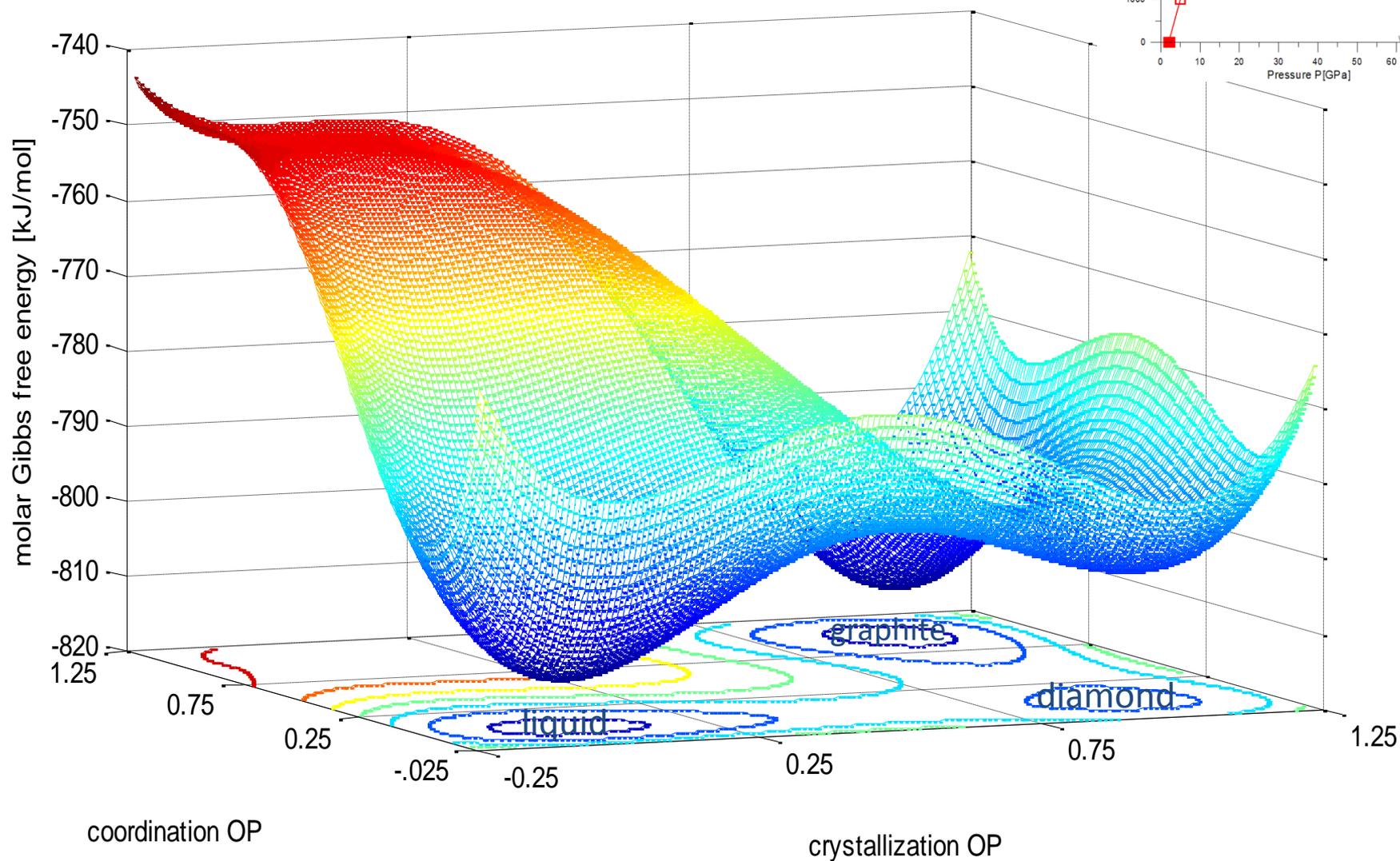
Solution 1: Calculate from First Principles

Solution 2: Calibrate from experiments or MD/MC simulations

Landau-Gibbs Free Energy of Au-Sn Alloy



Landau-Gibbs Free Energy of Carbon



Measurable Quantities

Interface thickness: $l_I \sim \sqrt{\frac{\kappa}{W}}$

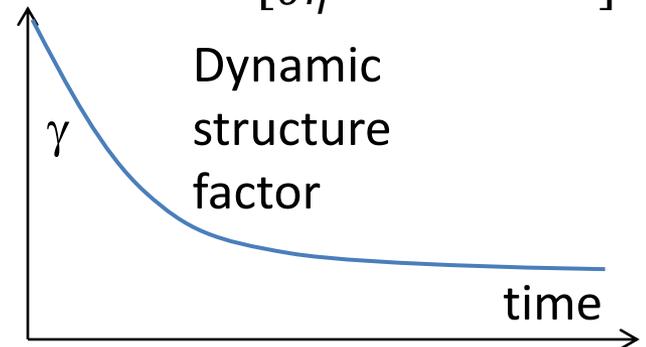
Interface energy: $\frac{\text{free energy}}{\text{unit area}} \equiv \sigma \sim \sqrt{\kappa W}$

Kinetic coefficient: $\frac{\text{interface velocity}}{1K \text{ of supercooling}} \equiv \mu = \gamma \sqrt{\frac{\kappa}{W}} \frac{L}{T_E}$

Equilibrium Fluctuations of OP

$$\langle |\widehat{\Delta\eta}_V(\mathbf{k})|^2 \rangle = \frac{k_B T}{V \left[\frac{\partial^2 g}{\partial \eta^2}(\bar{\eta}) + \kappa |\mathbf{k}|^2 \right]}$$

Non-Equilibrium Fluctuations of OP



Materials Genome

RESEARCH

RESEARCH

DATABASES

PUBLICATIONS

OPEN POSITIONS

AFLOW

High-throughput Calculations for Materials Properties

CALPHAD

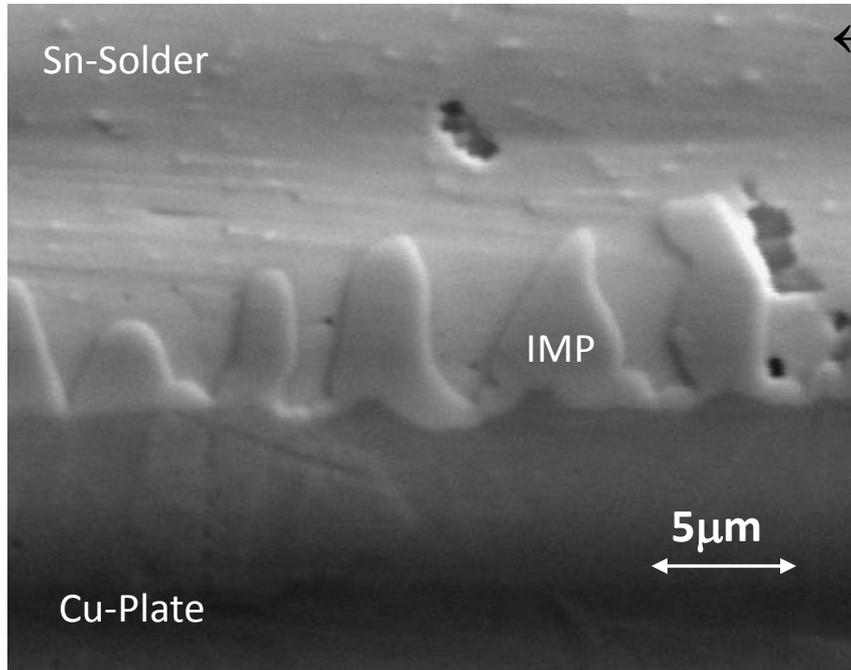
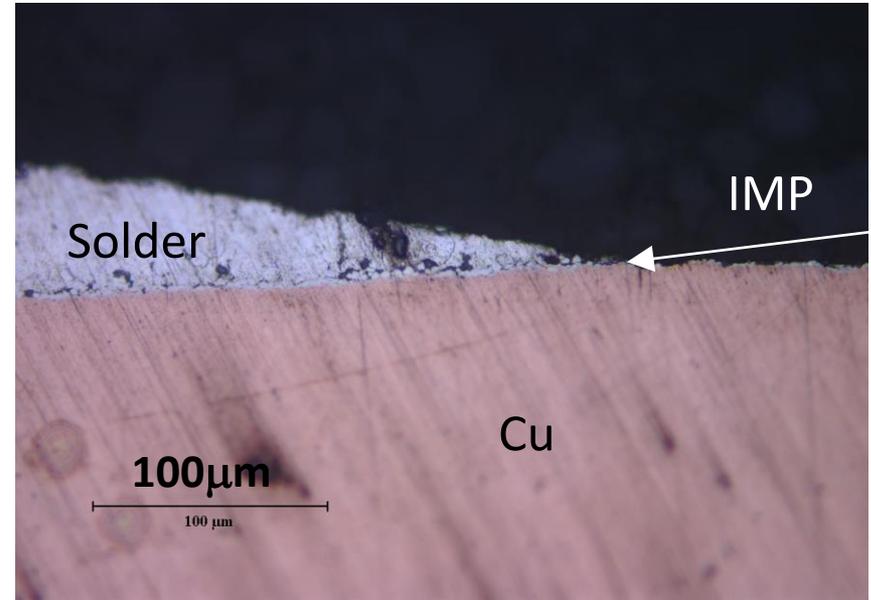
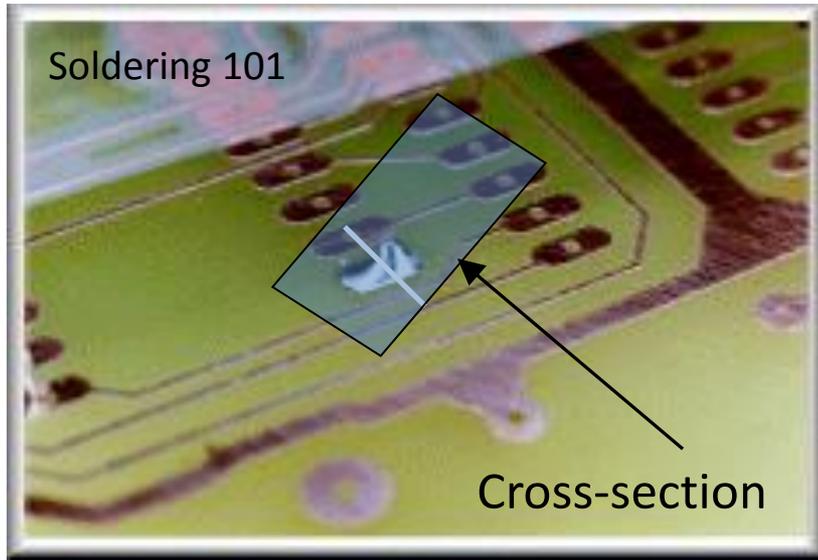
Computer Coupling of Phase Diagrams and Thermochemistry

Database of Interfacial Properties

	A	B	C	D	E	F	G	H	I	J	K	L	M	N	O	P	Q	R	S	T	U	V	W	X
1	Crystallization database for pure substances.																							
2	estimates																							
3	Quantity	Te	L	C	ρ	λ	σ	μ	δ		$B=3\alpha/(16)$	$Ta=L/C$	$\alpha=/(pC)$	$m=Te\mu\alpha/(Lp)$	$X=\alpha/(Lp)$	$lc=TeC\alpha/(pL^2)$	$\mu=/(pL)$		$Q=L/CTe$	$T E=16B/3L$	$U=TeCB/(pL^2)$	$R=/\mu\delta$	RU	γ
4	Units	K	J/kg	J/kg*K	kg/m ³	J/K*m*s	J/m ²	m/s*K	m		J/m ³	K	m ² /s	m ² /s	m	m	m				$\approx/c/\delta$			m ³ /Js
5	Elements																							
6	Aluminum	933.52	3.87E+05	900.00	2699	210	1.15E-01	10	1.00E-09		2.16E+07	4.30E+02	8.65E-05	1.03E-06	1.10E-10	2.39E-10	2.0111E-08		4.61E-01	1.10E-01	4.48E-02	20.111005	9.02E-01	
7	Aluminum	939.30	3.71E+05	1252.00	2332	0.777	1.02E-01	0.65	1.00E-09		1.92E+07	296.33387	2.66127E-07	7.23E-08	1.18355E-10	3.75153E-10	1.3816E-09		0.3154837	1.18E-01	0.070341253	1.3816356	9.72E-02	
8	Cobalt	1765.15	2.59E+05	799.10	8900	25.08	4.00E-02	1.88	1.00E-09		7.50E+06	3.24E+02	3.53E-06	5.75E-08	1.73E-11	9.44E-11	5.78E-09		1.84E-01	1.73E-02	1.77E-02	5.78E+00	1.02E-01	
9	Copper	1356.15	2.05E+05	493.70	8930	170.5	1.36E-01	1.58	1.00E-09		2.55E+07	4.15E+02	3.87E-05	1.59E-07	7.43E-11	2.43E-10	5.90E-08		3.06E-01	7.43E-02	4.55E-02	5.90E+01	2.68E+00	
10	Helium	35.04	6.60E+04	2975.00	410.3	0.117	1.70E-04	0.2	1.00E-09		3.19E+04	2.22E+01	9.59E-08	4.40E-11	6.28E-12	9.92E-12	2.16E-08		6.33E-01	6.28E-03	1.86E-03	2.16E+01	4.02E-02	
11	Hydrogen						8.74E-04				#DIV/0!			#DIV/0!	#DIV/0!		#DIV/0!		#DIV/0!	#DIV/0!	#DIV/0!	#DIV/0!	#DIV/0!	
12	Nickel	1725.15	2.92E+05	735.00	8900	40.96	4.64E-01	0.39	1.00E-09		8.70E+07	3.98E+02	6.26E-06	1.20E-07	1.78E-10	7.74E-10	4.04E-08		2.30E-01	1.78E-01	1.45E-01	4.04E+01	5.86E+00	
13	Nickel	1728.00	2.64E+05	540.00	8900	90.9	3.70E-01	2	5.00E-10		1.39E+08													4.90E+02
14	Lead	600.65	2.30E+04	129.00	10660	34.4	2.99E-02	0.28	1.00E-09		5.61E+06	1.78E+02	2.50E-05	2.05E-08	1.22E-10	4.11E-10	5.01E-07		2.97E-01	1.22E-01	7.70E-02	5.01E+02	3.86E+01	
15	Phosphorus	317.10	8.47E+04	3310.16	1745	0.1881	8.00E-03	0.177	1.00E-09		1.50E+06	25.6	3.26E-08	3.04E-09	5.41E-11	6.70E-10	7.19E-09		8.07E-02	5.41E-02	1.26E-01	7.19E+00	9.03E-01	
16	Silver	1234.15	1.05E+05	284.50	10500	204.5	1.11E-01	1.65	1.00E-09		2.08E+07	3.67E+02	6.85E-05	2.06E-07	1.01E-10	3.40E-10	1.13E-07		2.98E-01	1.01E-01	6.37E-02	1.13E+02	7.20E+00	
17											#DIV/0!			#DIV/0!	#DIV/0!		#DIV/0!		#DIV/0!	#DIV/0!	#DIV/0!	#DIV/0!	#DIV/0!	
18	Xenon	161.39	1.75E+04	339.68	2963	0.0734	9.43E-03		1.00E-09		1.77E+06	5.15E+01	7.29E-08	0.00E+00	1.82E-10	5.69E-10	#DIV/0!		3.19E-01	1.82E-01	1.07E-01	#DIV/0!	#DIV/0!	
19														#DIV/0!										0.00E+00
20	Substances																							
21	Cyclohexane	298.61							1.00E-09		0.00E+00	#DIV/0!	#DIV/0!	#DIV/0!	#DIV/0!	#DIV/0!	#DIV/0!		#DIV/0!	#DIV/0!	#DIV/0!	#DIV/0!	#DIV/0!	0.00E+00
22	Succinonitrile	331.24	4.62E+04	1998.00	970	0.2226	8.95E-03	0.2	1.00E-09		1.68E+06	2.31E+01	1.15E-07	1.32E-08	2.00E-10	2.86E-09	2.48E-08		6.99E-02	2.00E-01	5.36E-01	2.48E+01	1.33E+01	
23	Water	273.15	3.33E+05	4184.00	1000	0.5607	3.19E-02		1.00E-09		5.98E+06	7.96E+01	1.34E-07	0.00E+00	9.57E-11	3.28E-10	#DIV/0!		2.92E-01	9.57E-02	6.16E-02	#DIV/0!	#DIV/0!	

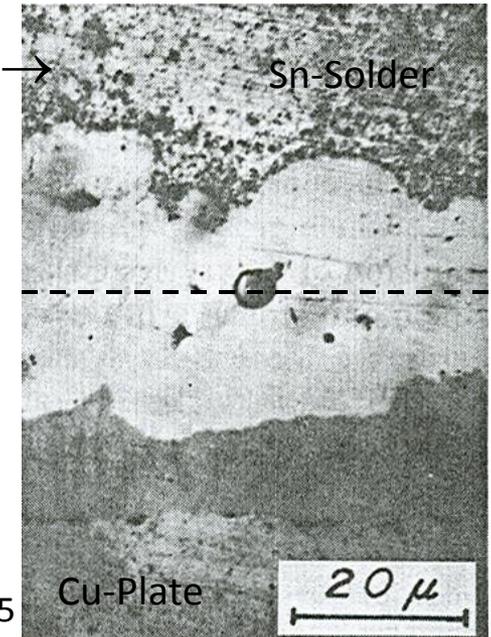
	A	B	C	D	E	F	G	H	I	J	K	L	M	N	O	P	Q	R	S	T
1	Copper-Nickel alloy system																			
2																				
3	Quantity	Te measur	Te simulat	δT	σ measure	σ simulate	σ	$X_{solidCu}$	ρ_{solid}	G^{solid}	g^{solid}	$X_{liquidCu}$	ρ_{liquid}	G^{liquid}	g^{liquid}	ΔX_{Cu}	L	C	λ	μ
4	Units	K	K		J/m ²	J/m ²	eV/nm ²	mol. frac.	(atoms/nm ³)	J/mol x mol. frac.	J/m ² x mol. frac.	mol. frac.	(atoms/nm ³)	J/mol x mol. frac.	J/m ² x mol. frac.	mol. frac.	(J/kg)	J/kg*K	J/K*m*s	m/s*K
5																				
6	pure Ni	1728	1820		0.255	0.31	1.935081	0				0					0			
7							0										0			
8			1750	0.82134		0.287	1.791511	0.05	83.2	3.0616E+05	4.2313E+10	0.104	77.1	1.5606E+05	1.9987E+10	-0.054				
9							0										0			
10	pure Cu	1356	1428.194		0.177	0.215176	1.343174	1				1					0			

Soldering: InterMetallic Phase Growth



← Liquid-state solder
Solid-state solder →

←
Where was the
original interface
←
between the
tin and copper?
←

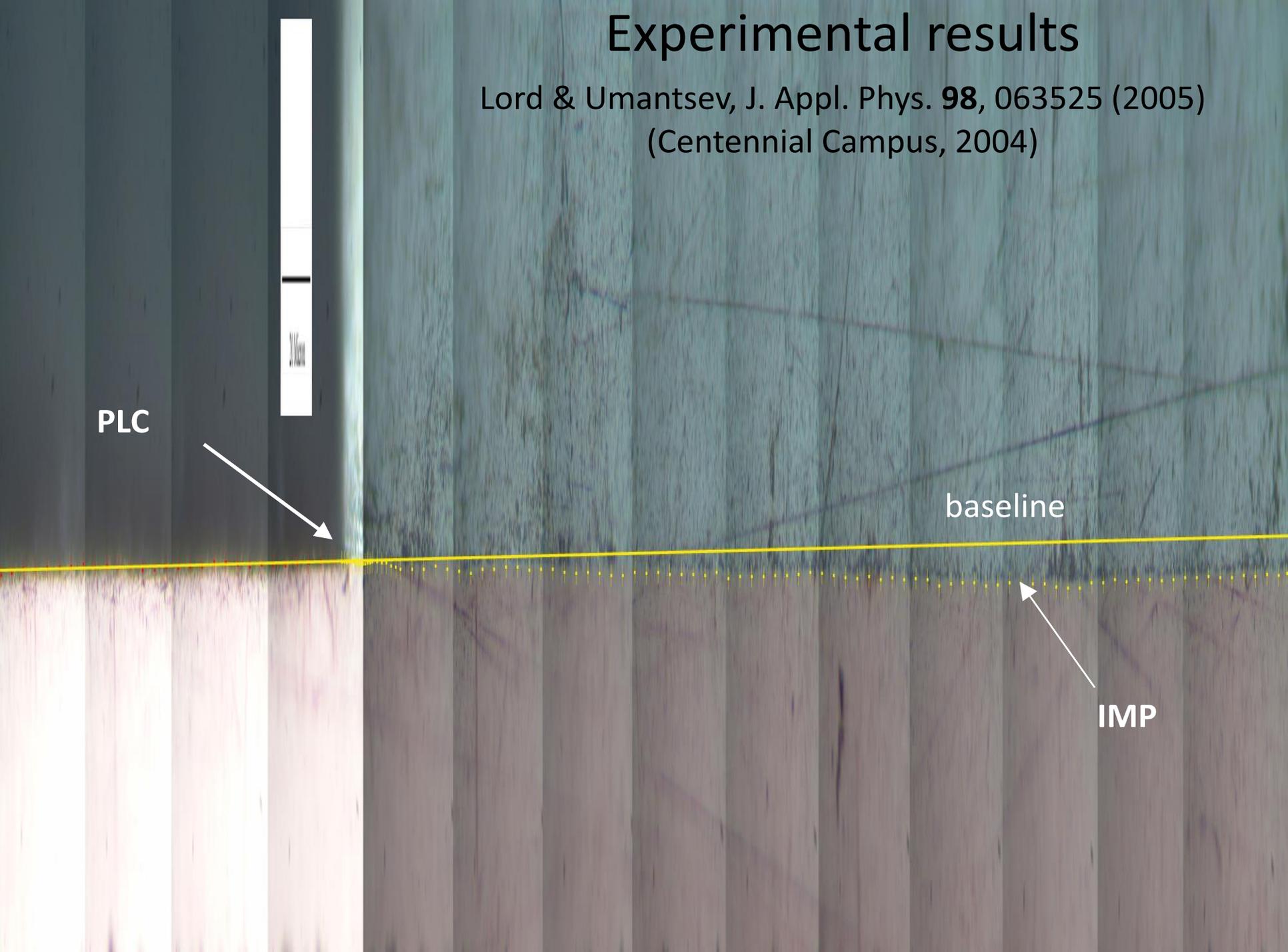


Gagliano
and Fine'00

Onishi and
Fujibuchi'75

Experimental results

Lord & Umantsev, J. Appl. Phys. **98**, 063525 (2005)
(Centennial Campus, 2004)



PLC

baseline

IMP

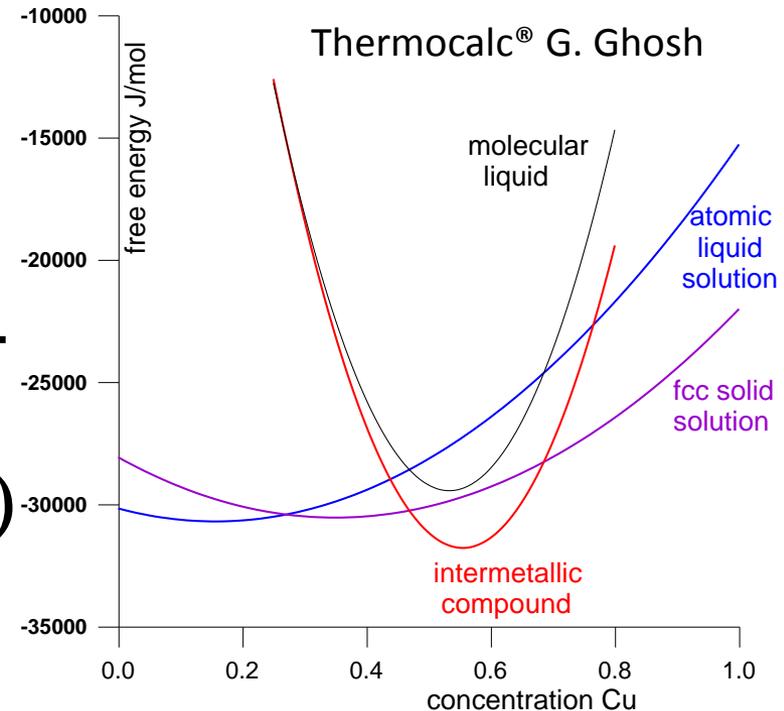
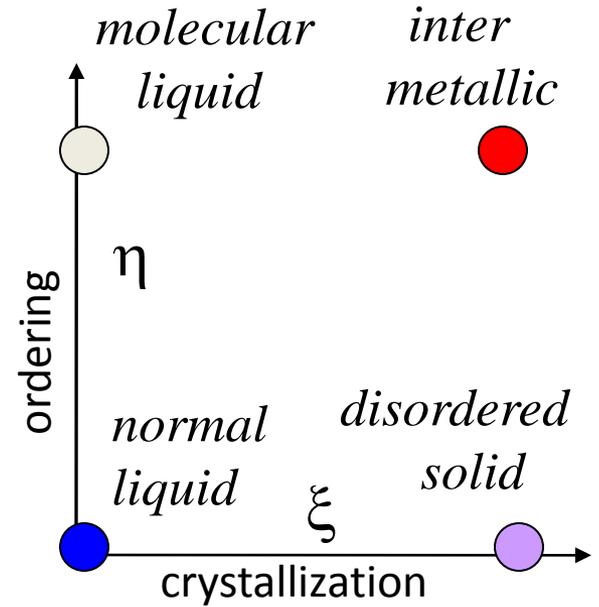
Total Free Energy

Umantsev, JAP '07

$$F = \int d\mathbf{r} [f(\xi, \eta, c) + \kappa_\xi (\nabla \xi)^2 + \kappa_\eta (\nabla \eta)^2]$$

Homogeneous part

$$f(\xi, \eta, c) = f_{\text{liquid}}(c) + Aw(\xi) + [f_{\text{solid}}(c) - f_{\text{liquid}}(c)]s(\xi) + Bw(\eta) + [f_{\text{intermet}}(c) - f_{\text{solid}}(c)]s(\eta)$$



Grain Boundary Contribution to Free Energy

1. $f_{\text{ori}}=f(\theta)$ —not invariant against rotation of the reference frame

2. $f_{\text{ori}}=f(\theta_1, \theta_2, \theta_3, \dots)$ —orientation \equiv order parameter

3. $f_{\text{ori}}=f(|\nabla\theta|) = s|\nabla\theta| + q|\nabla\theta|^2$ —Kobayashi, Warren, Carter

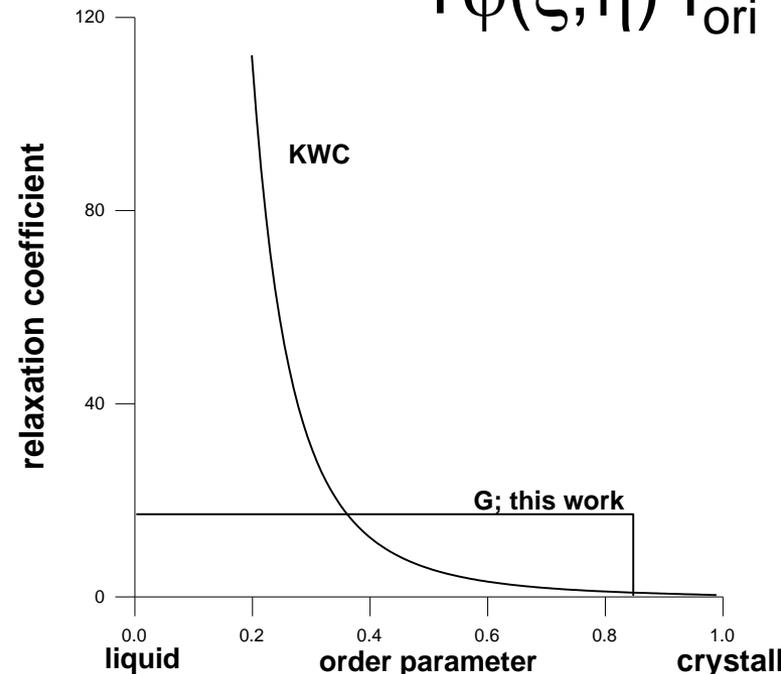
$$f = \dots + [1 - s(\xi)] f_{\text{liquid}}(c) + [s(\xi) - s(\eta)] f_{\text{solid}}(c) + s(\eta) f_{\text{intermet}}(c) + \varphi(\xi, \eta) f_{\text{ori}}$$

Dynamics

$$\frac{\partial\theta}{\partial t} = -\gamma_{\theta(\xi, \eta)} \frac{\delta F}{\delta\theta}$$

Grain-boundary diffusion:

Mobility: $M = M(\xi, \eta, |\nabla\theta|)$



Evolution Equations

Crystallization

$$\frac{\partial \xi}{\partial t} = -\gamma_{\xi} \frac{\delta F}{\delta \xi}$$

Ordering

$$\frac{\partial \eta}{\partial t} = -\gamma_{\eta} \frac{\delta F}{\delta \eta}$$

GB orientation

$$\frac{\partial \theta}{\partial t} = -\gamma_{\theta(\xi, \eta)} \frac{\delta F}{\delta \theta}$$

Diffusion

$$\frac{\partial c}{\partial t} = \nabla [M(\xi, \eta, c) \nabla \frac{\delta F}{\delta c}]$$

$$M = M_{liq} + (M_{sol} - M_{liq})\xi + (M_{int} - M_{sol})\eta$$

Initial Conditions: slab, no nucleation

Parameters:

3 Diffusion
coefficients:

solder
intermetallic
substrate

+

9 interfacial
parameters

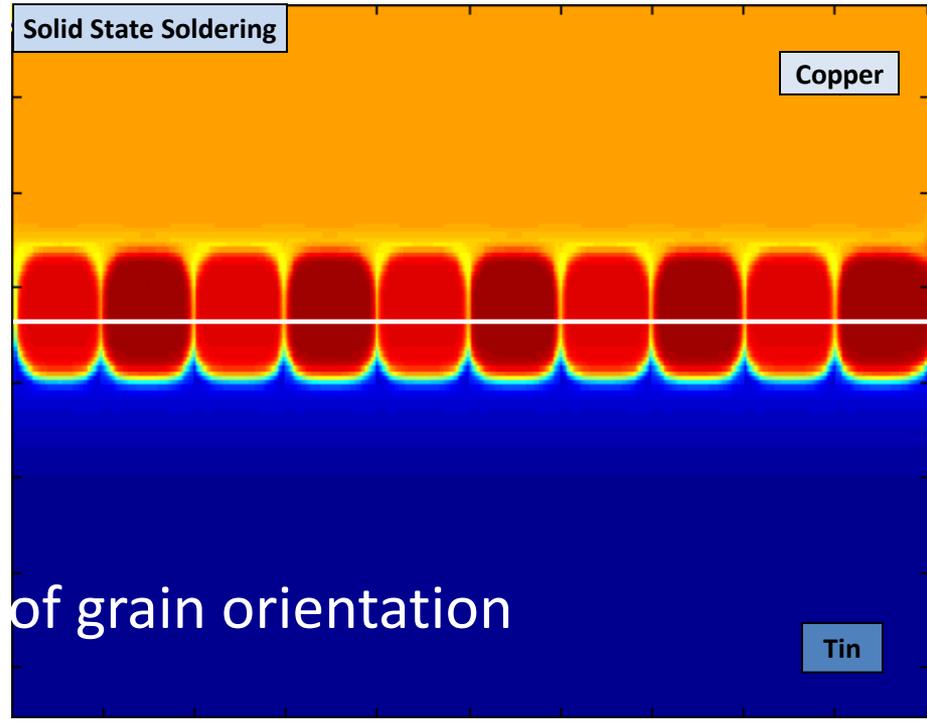
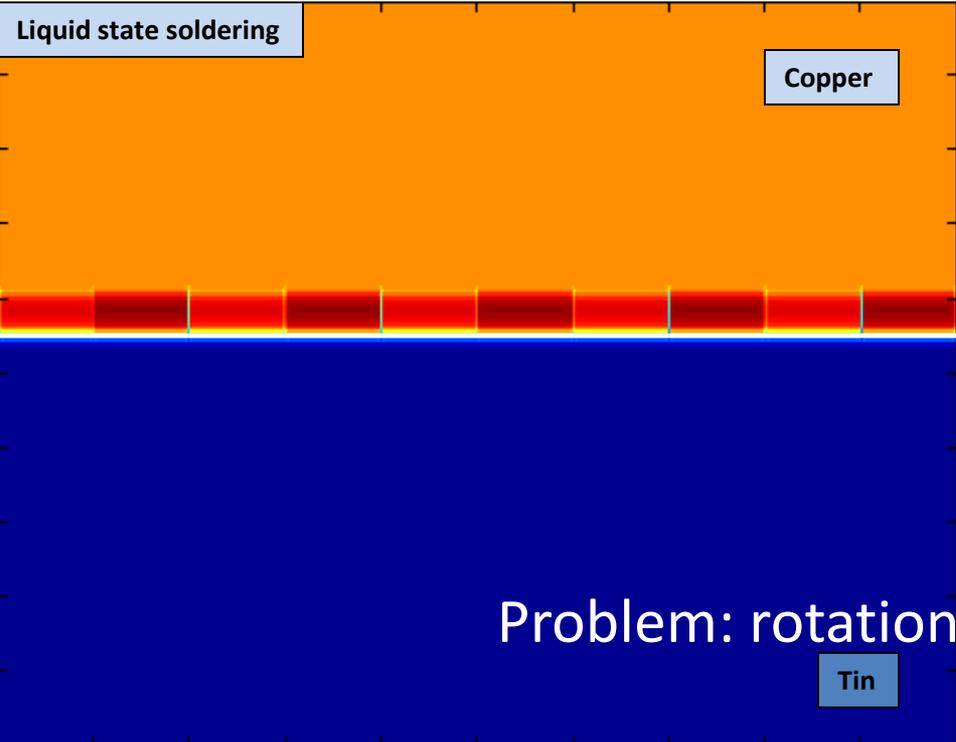
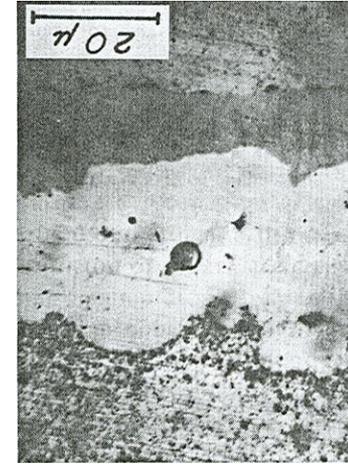
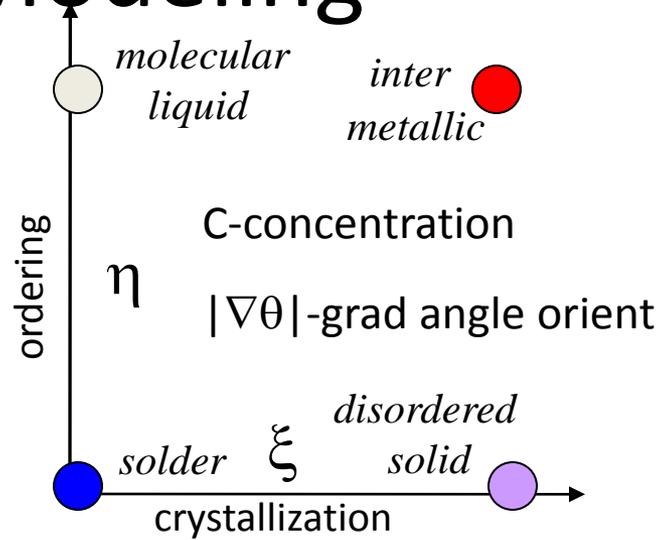
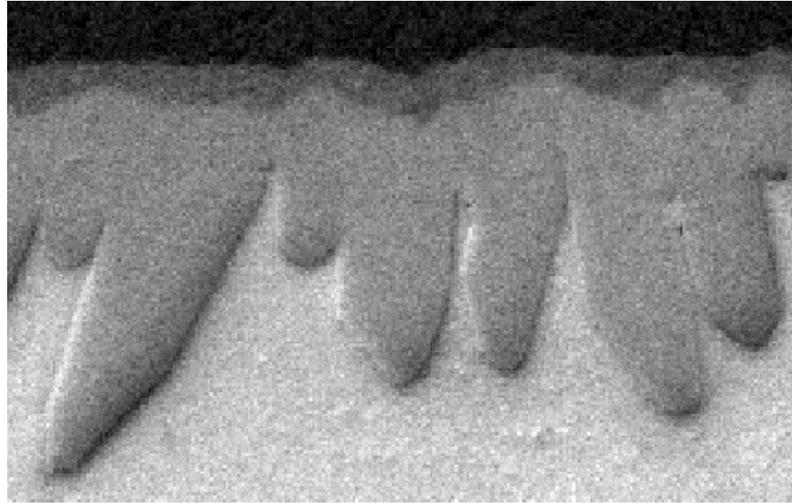
(interface energies
thicknesses, and
mobilities)

Scales:

Length=0.25nm

Time=0.25 μ s

2D Modeling



Problem: rotation of grain orientation

Phase Field Simulation of Nucleation at Large Driving Forces

Lifetime: time for a supercritical nucleus to appear in the system.

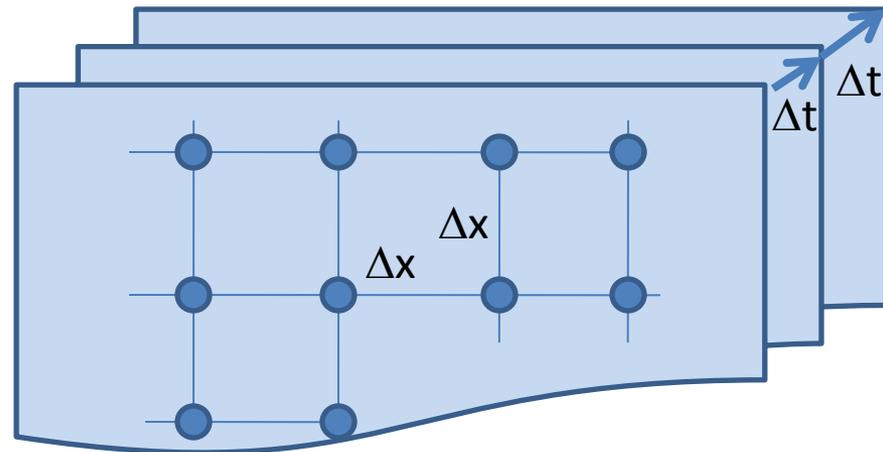
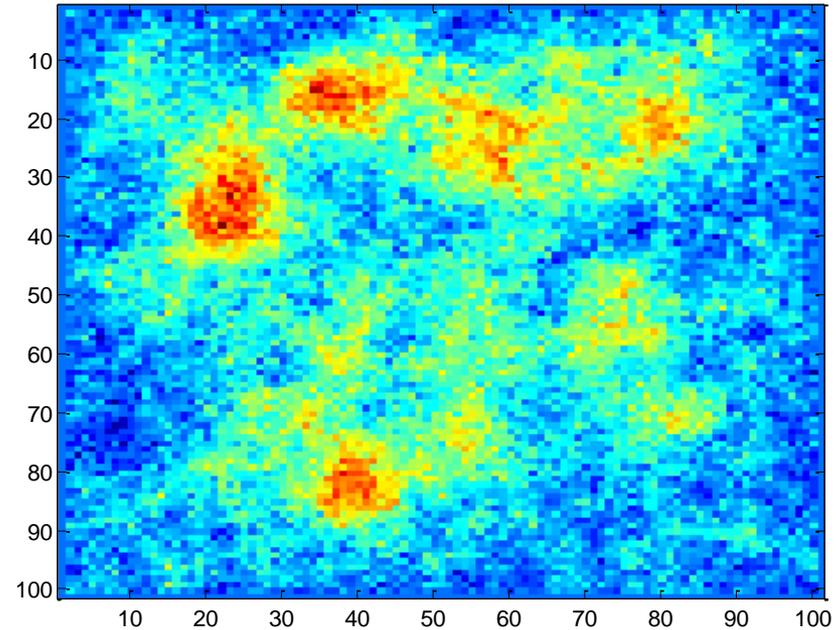
Advantages: 1. more reliable theory
2. free-energy landscape

3D as opposed to 2D

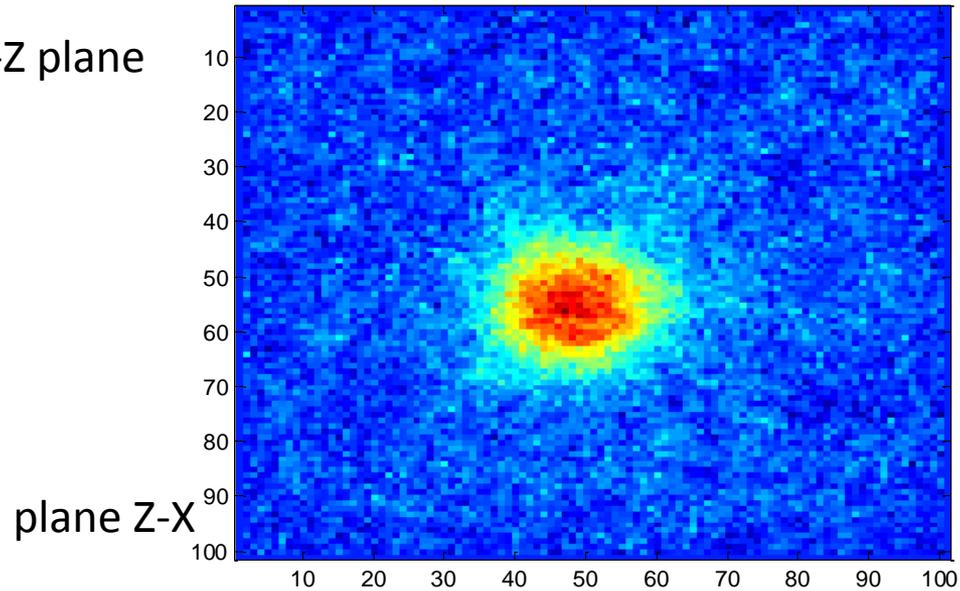
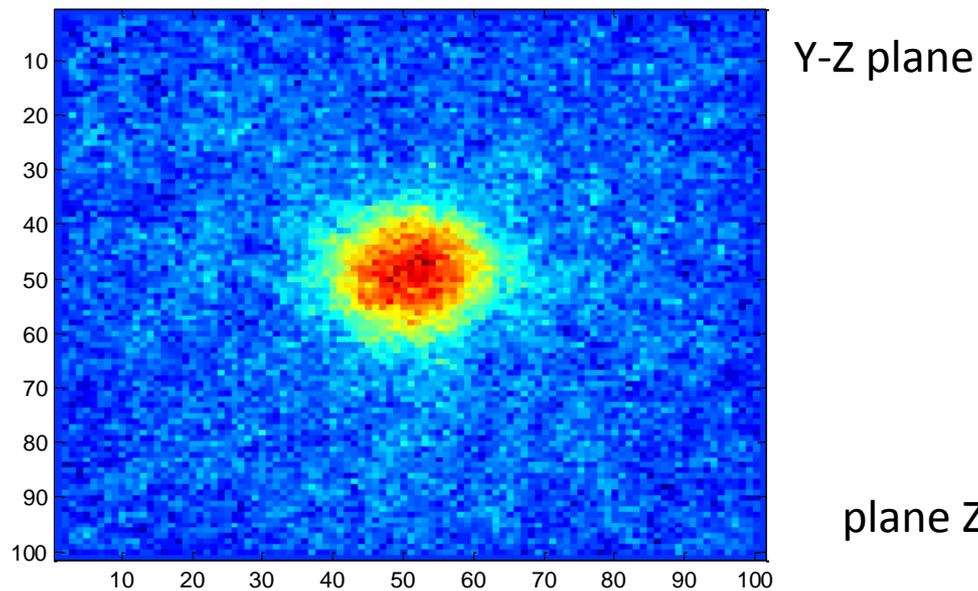
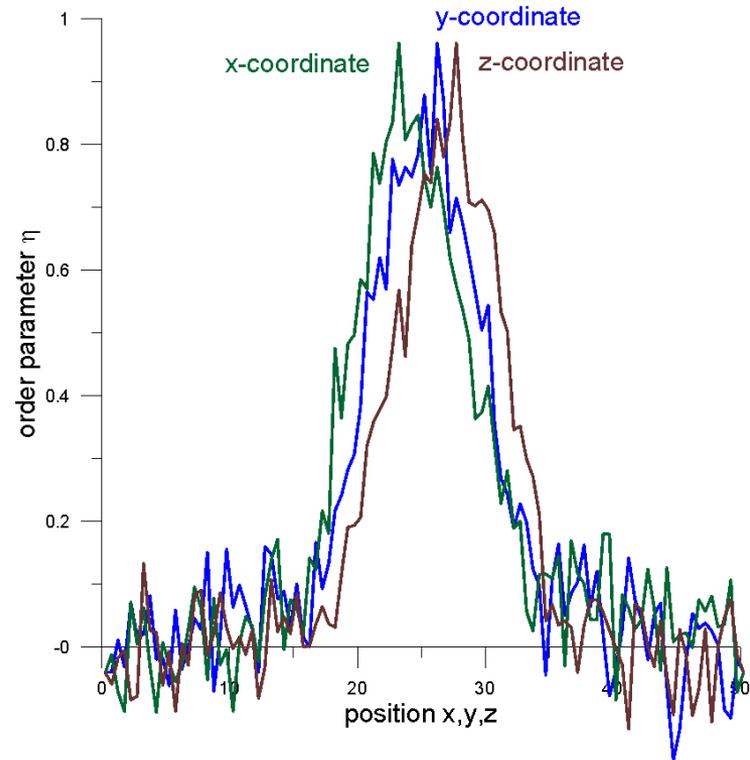
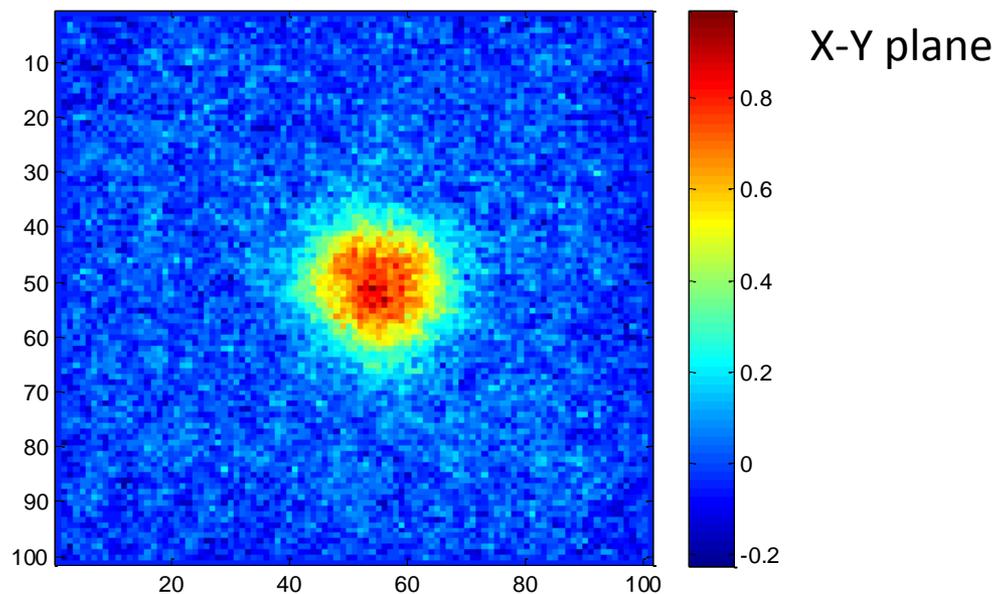
Correlation properties of the fluctuations are very different.

Numerical simulations

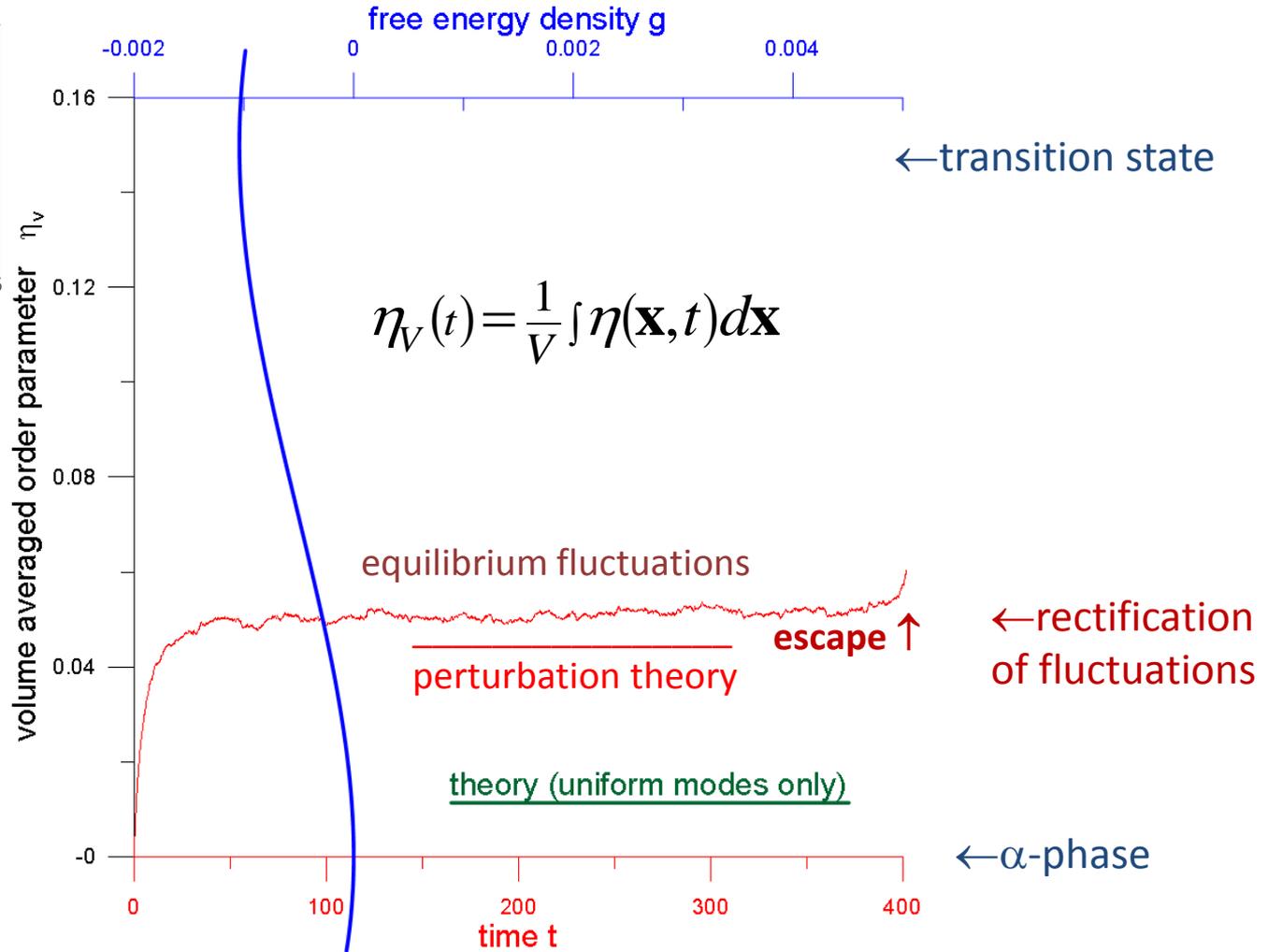
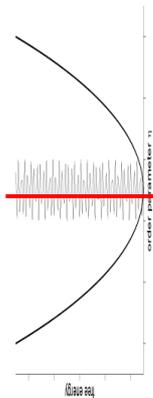
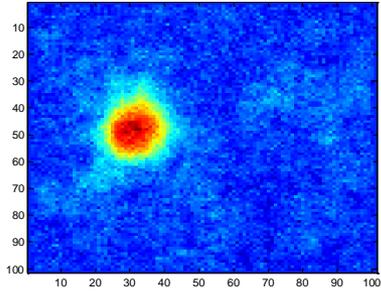
Δx , Δt are not just grid parameters. They are physical quantities—the noise correlation length and time.



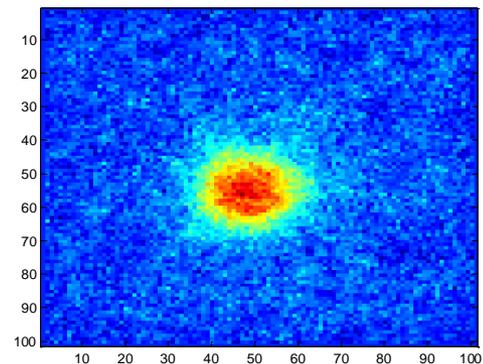
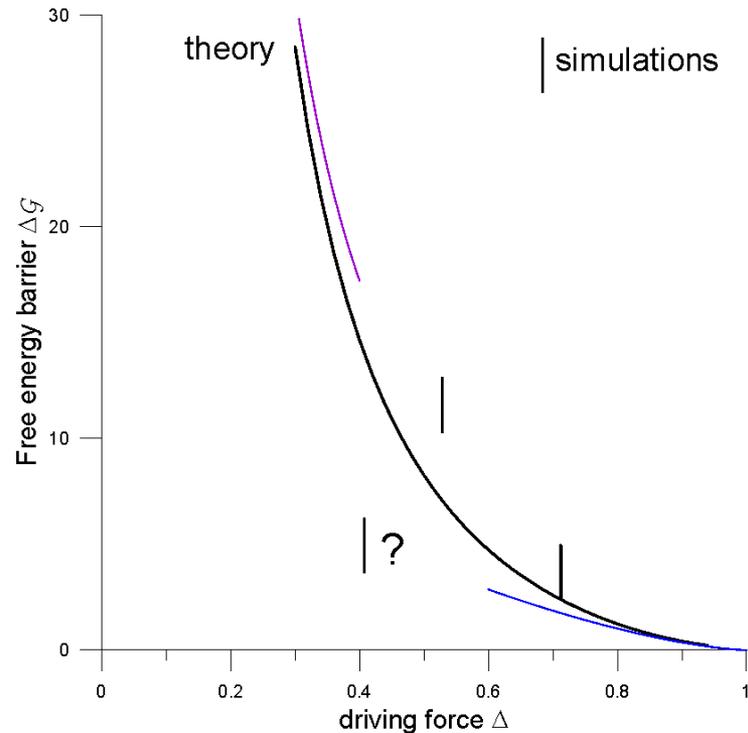
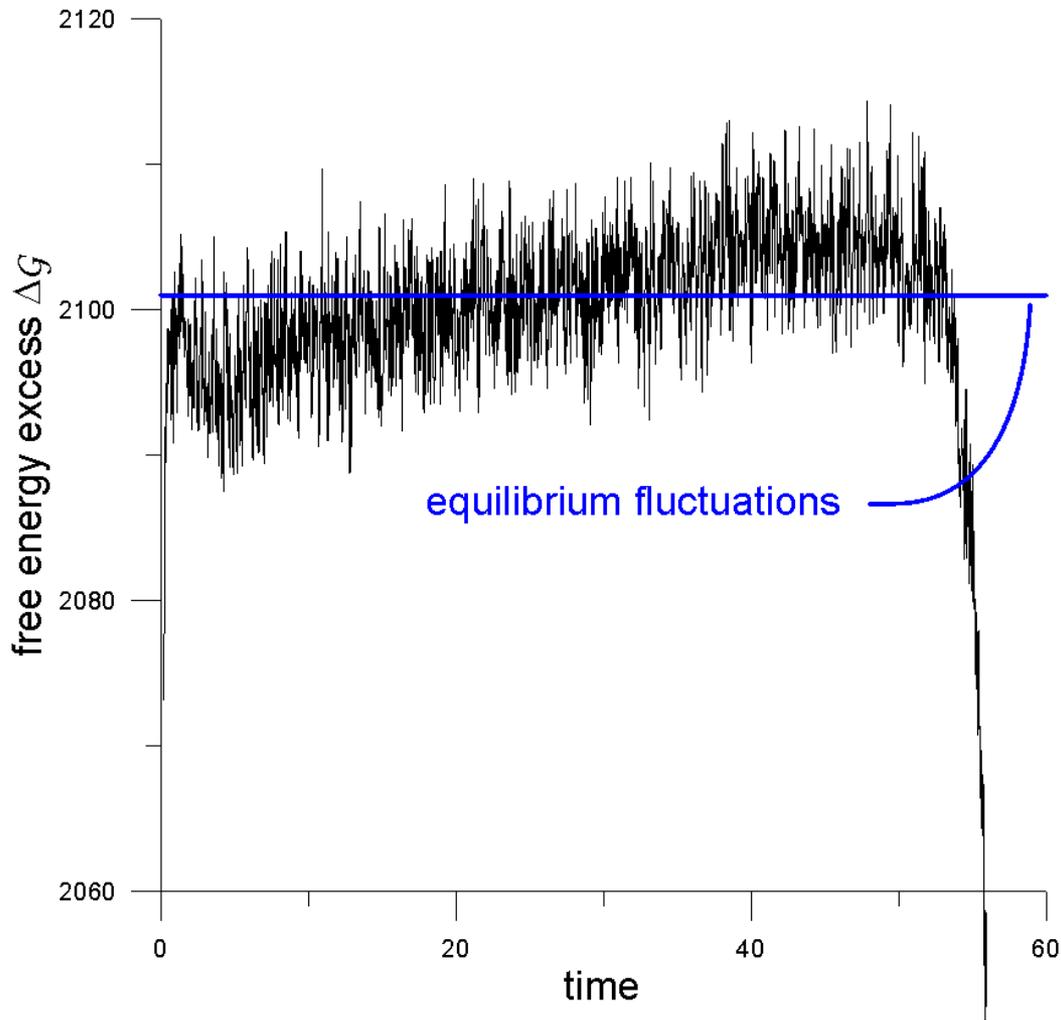
Supercritical Nucleus



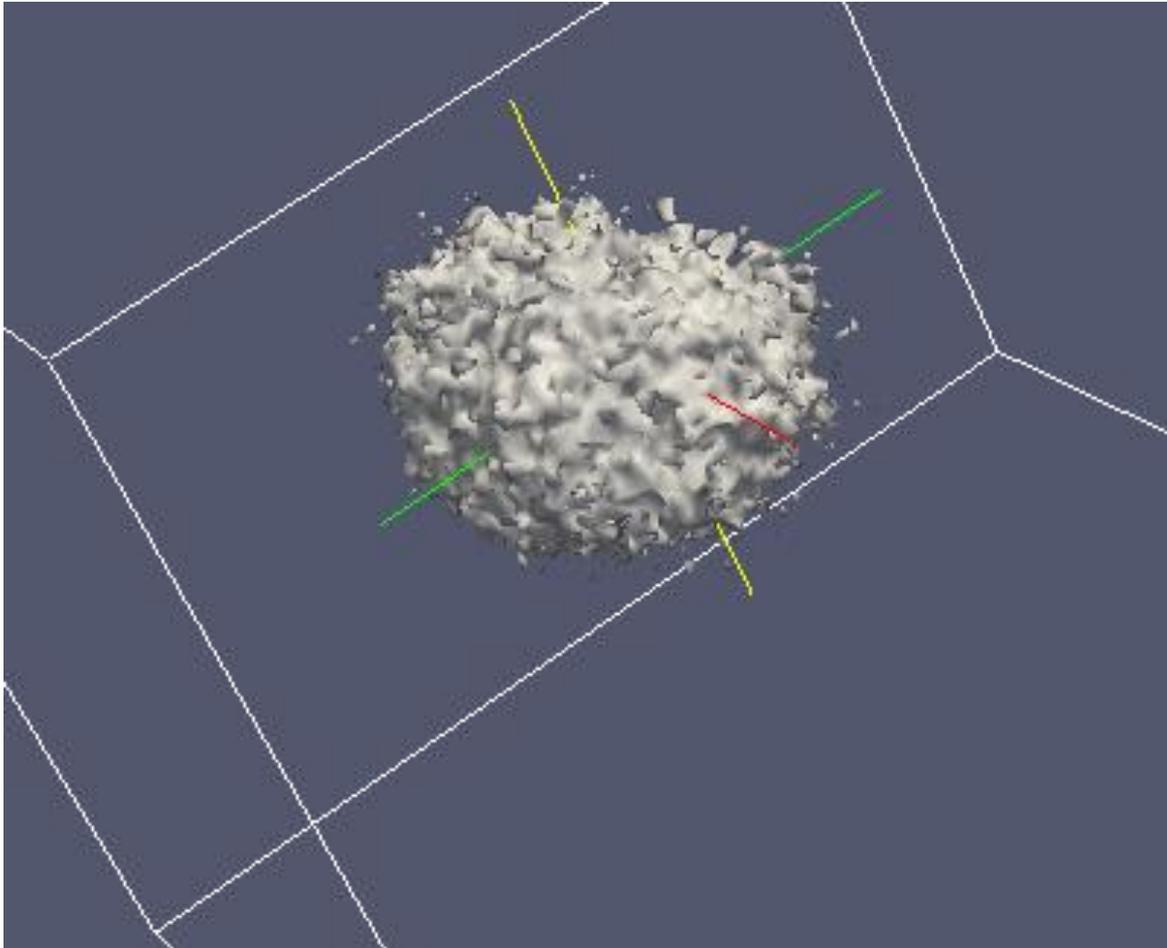
Time Evolution of the System



Free Energy Barrier



3D Structure of Supercritical Nucleus



Shape characterization

1. Volumetric content
2. Eccentricity
3. Roughness
4. Probability distribution

